Senate File 281 - Introduced

SENATE FILE 281 BY BROWN

A BILL FOR

- 1 An Act relating to the adoption by executive branch agencies of
- 2 standards by reference to certain publications and including
- 3 effective date provisions.
- 4 BE IT ENACTED BY THE GENERAL ASSEMBLY OF THE STATE OF IOWA:

1 DIVISION I

- 2 LIMITATION ON ADOPTION OF STANDARDS BY REFERENCE
- 3 Section 1. Section 17A.6, Code 2021, is amended by adding
- 4 the following new subsection:
- 5 NEW SUBSECTION. 4. An agency which adopts standards by
- 6 reference to another publication shall comply with section
- 7 17A.6C if applicable.
- 8 Sec. 2. NEW SECTION. 17A.6C Limitation on adoption of
- 9 standards by reference.
- 10 l. For purposes of this section, "publication" includes a
- 11 successor publication to a publication previously adopted by
- 12 reference, but does not include a publication by the executive,
- 13 legislative, or judicial branches, or any component thereof, or
- 14 a federal publication.
- 2. On and after the effective date of this Act, an agency
- 16 shall not, by rule, adopt a standard by reference to another
- 17 publication, or a new edition, amendment, or other update
- 18 to such a standard previously adopted by reference, unless
- 19 adoption of the specific standard, edition, amendment, or
- 20 other update is explicitly authorized by a bill enacted by the
- 21 general assembly.
- 22 3. This section does not apply to adoption of a standard by
- 23 reference to another publication if such adoption is explicitly
- 24 required by federal law.
- 25 4. This section shall not be construed to affect the
- 26 validity of the adoption of a standard adopted by an agency
- 27 prior to the effective date of this Act. This section shall
- 28 not be construed to authorize an agency to adopt, by means
- 29 other than rulemaking, a standard by reference to another
- 30 publication if such adoption is not otherwise authorized by
- 31 state or federal law.
- 32 DIVISION II
- 33 2020 NATIONAL ELECTRICAL CODE
- 34 Sec. 3. Section 103.6, subsection 1, paragraph a, Code 2021,
- 35 is amended to read as follows:

- 1 a. Adopt rules pursuant to chapter 17A and in doing so
- 2 shall be governed by the minimum standards set forth in the
- 3 most current 2020 publication of the national electrical code
- 4 issued and adopted by the national fire protection association,
- 5 and without amendments, to the code, which code and amendments
- 6 shall be filed in the offices of the state law library and the
- 7 board and shall be a public record. The board shall adopt
- 8 rules reflecting updates to the code and amendments to the
- 9 code. The board shall promulgate and adopt rules establishing
- 10 wiring standards that protect public safety and health and
- ll property and that apply to all electrical wiring which is
- 12 installed subject to this chapter.
- 13 Sec. 4. Section 103.10, subsection 2, Code 2021, is amended
- 14 to read as follows:
- 15 2. In addition, an applicant shall meet examination
- 16 criteria based upon the most recent national electrical code
- 17 adopted pursuant to section 103.6 and upon electrical theory,
- 18 as determined by the board.
- 19 DIVISION III
- 20 CORRESPONDING AMENDMENTS
- 21 Sec. 5. Section 88A.3, subsection 1, Code 2021, is amended
- 22 to read as follows:
- 23 l. The commissioner shall adopt rules pursuant to chapter
- 24 17A for the safe installation, repair, maintenance, use,
- 25 operation, and inspection of amusement devices, amusement
- 26 rides, concession booths, and related electrical equipment at
- 27 carnivals and fairs to the extent necessary for the protection
- 28 of the public. The rules shall be based on generally accepted
- 29 engineering standards and shall be concerned with, but not
- 30 necessarily limited to, engineering force stresses, safety
- 31 devices, and preventive maintenance. If standards are
- 32 available in suitable form, the standards may be incorporated
- 33 by reference if authorized pursuant to section 17A.6C. The
- 34 rules shall provide for the reporting of accidents and injuries
- 35 incurred from the operation of amusement devices or rides,

- 1 concession booths, or related electrical equipment.
- 2 Sec. 6. Section 89A.3, subsection 3, Code 2021, is amended
- 3 to read as follows:
- 4 3. The safety board shall adopt rules for conveyances
- 5 according to the applicable provisions of the American
- 6 society of mechanical engineers safety codes for elevators
- 7 and escalators, Al7.1 and Al7.3, as the safety board deems
- 8 necessary. In adopting rules the safety board may adopt the
- 9 American society of mechanical engineers safety codes, or any
- 10 part of the codes, by reference, if authorized pursuant to
- 11 section 17A.6C.
- 12 Sec. 7. Section 99D.23, subsection 1, Code 2021, is amended
- 13 to read as follows:
- 14 l. The commission shall employ one or more chemists or
- 15 contract with a qualified chemical laboratory to determine by
- 16 chemical testing and analysis of saliva, urine, blood, hair,
- 17 or other excretions or body fluids whether a substance or drug
- 18 has been introduced which may affect the outcome of a race or
- 19 whether an action has been taken or a substance or drug has
- 20 been introduced which may interfere with the testing procedure.
- 21 The commission shall adopt rules under chapter 17A concerning
- 22 procedures and actions taken on positive drug reports. The
- 23 commission may adopt by reference nationally recognized
- 24 standards as determined by the commission if authorized
- 25 pursuant to section 17A.6C or may adopt any other procedure
- 26 or standard. The commission has the authority to retain and
- 27 preserve by freezing, test samples for future analysis.
- 28 Sec. 8. Section 103A.8, subsection 1, Code 2021, is amended
- 29 to read as follows:
- 30 l. Provide uniform standards and requirements for
- 31 construction, construction materials, and equipment through
- 32 the adoption by reference, if authorized pursuant to section
- 33 17A.6C, of applicable national codes where appropriate and
- 34 providing exceptions when necessary. The rules adopted shall
- 35 include provisions imposing requirements reasonably consistent

- 1 with or identical to recognized and accepted standards
- 2 contained in performance criteria.
- 3 Sec. 9. Section 103A.8B, Code 2021, is amended to read as
- 4 follows:
- 5 103A.8B Sustainable design or green building standards.
- 6 The commissioner, after consulting with and receiving
- 7 recommendations from the department of natural resources,
- 8 shall adopt rules pursuant to chapter 17A specifying standards
- 9 and requirements for sustainable design and construction.
- 10 The standards and requirements shall be based upon or
- 11 incorporating nationally recognized ratings, certifications,
- 12 or classification systems, and procedures relating to
- 13 documentation of compliance, in accordance with section
- 14 17A.6C if applicable. The standards and requirements shall
- 15 be incorporated into the state building code established in
- 16 section 103A.7, but in lieu of general applicability shall
- 17 apply to construction projects only if such applicability is
- 18 expressly authorized by statute, or as established by another
- 19 state agency by rule.
- 20 Sec. 10. Section 105.4, subsection 1, paragraph a, Code
- 21 2021, is amended to read as follows:
- 22 a. The board shall establish by rule a plumbing installation
- 23 code governing the installation of plumbing in this state.
- 24 Consistent with fire safety rules and standards promulgated by
- 25 the state fire marshal, and if authorized pursuant to section
- 26 17A.6C, the board shall adopt the most current version of the
- 27 uniform plumbing code and the international mechanical code,
- 28 as the state plumbing code and the state mechanical code, to
- 29 govern the installation of plumbing and mechanical systems in
- 30 this state. The board shall adopt the current version of each
- 31 code within six months of its being released. The board may
- 32 adopt amendments to each code by rule. The board shall work
- 33 in consultation with the state fire marshal to ensure that
- 34 proposed amendments do not conflict with the fire safety rules
- 35 and standards promulgated by the state fire marshal. The state

- 1 plumbing code and the state mechanical code shall be applicable
- 2 to all buildings and structures owned by the state or an agency
- 3 of the state and in each local jurisdiction.
- 4 Sec. 11. Section 135.11, subsection 26, Code 2021, is
- 5 amended to read as follows:
- 6 26. In consultation with the advisory committee for
- 7 perinatal guidelines, develop and maintain the statewide
- 8 perinatal program based on the recommendations of the American
- 9 academy of pediatrics and the American college of obstetricians
- 10 and gynecologists contained in the most recent edition of
- 11 the guidelines for perinatal care, and shall adopt rules in
- 12 accordance with chapter 17A to implement those recommendations.
- 13 Such adoption shall be in accordance with section 17A.6C
- 14 if applicable. Hospitals within the state shall determine
- 15 whether to participate in the statewide perinatal program,
- 16 and select the hospital's level of participation in the
- 17 program. A hospital having determined to participate in the
- 18 program shall comply with the guidelines appropriate to the
- 19 level of participation selected by the hospital. Perinatal
- 20 program surveys and reports are privileged and confidential
- 21 and are not subject to discovery, subpoena, or other means
- 22 of legal compulsion for their release to a person other than
- 23 the affected hospital, and are not admissible in evidence in a
- 24 judicial or administrative proceeding other than a proceeding
- 25 involving verification of the participating hospital under this
- 26 subsection.
- 27 Sec. 12. Section 135C.9, subsection 2, Code 2021, is amended
- 28 to read as follows:
- 29 2. The rules and standards promulgated by the fire marshal
- 30 pursuant to subsection 1, paragraph "b", of this section
- 31 shall be substantially in keeping with the latest generally
- 32 recognized safety criteria for the facilities covered, of which
- 33 the applicable criteria recommended and published from time
- 34 to time by the national fire protection association shall be
- 35 prima facie evidence. The rules and standards promulgated by

- 1 the fire marshal shall be promulgated in consultation with the
- 2 department and in accordance with section 17A.6C if applicable
- 3 and shall, to the greatest extent possible, be consistent with
- 4 rules adopted by the department under this chapter.
- 5 Sec. 13. Section 135C.14, unnumbered paragraph 1, Code
- 6 2021, is amended to read as follows:
- 7 The department shall, in accordance with chapter 17A
- 8 and with the approval of the state board of health, adopt
- 9 and enforce rules setting minimum standards for health care
- 10 facilities. In so doing, the department, with the approval
- 11 of the state board of health, and if authorized pursuant
- 12 to section 17A.6C, may adopt by reference, with or without
- 13 amendment, nationally recognized standards and rules, which
- 14 shall be specified by title and edition, date of publication,
- 15 or similar information. The rules and standards required by
- 16 this section shall be formulated in consultation with the
- 17 director of human services or the director's designee, with the
- 18 state fire marshal, and with affected industry, professional,
- 19 and consumer groups, and shall be designed to further the
- 20 accomplishment of the purposes of this chapter and shall relate 21 to:
- Sec. 14. Section 148F.3, subsection 2, Code 2021, is amended
- 23 to read as follows:
- 24 2. Adoption of rules to establish accepted standards of
- 25 orthotic and prosthetic scope of practice, including the
- 26 classification of devices and supervision of nonlicensed
- 27 caregivers. Any changes to the nationally accepted standards
- 28 by the American board for certification in orthotics,
- 29 prosthetics and pedorthics which impact scope of practice may
- 30 be approved by the board along with the adoption of rules as
- 31 required in this section in accordance with section 17A.6C if
- 32 applicable.
- 33 Sec. 15. Section 214A.2, subsection 1, Code 2021, is amended
- 34 to read as follows:
- 35 1. The department shall adopt rules pursuant to chapter

1 17A for carrying out this chapter. The rules may include but 2 are not limited to specifications relating to motor fuel, 3 including but not limited to renewable fuel such as ethanol 4 blended gasoline, biobutanol blended gasoline, biodiesel, 5 biodiesel blended fuel, and motor fuel components such as an 6 oxygenate. In the interest of uniformity, the department shall 7 adopt by reference other specifications relating to tests and 8 standards for motor fuel, including renewable fuel and motor 9 fuel components, established by the United States environmental 10 protection agency and A.S.T.M. international. The department 11 shall comply with section 17A.6C, if applicable, when making 12 such an adoption by reference. 13 Sec. 16. Section 231B.2, subsection 1, unnumbered paragraph 14 1, Code 2021, is amended to read as follows: 15 The department shall establish by rule, in accordance with 16 chapter 17A, minimum standards for certification and monitoring 17 of elder group homes. The department may adopt by reference, 18 with or without amendment, nationally recognized standards 19 and rules for elder group homes if authorized pursuant to 20 section 17A.6C. The standards and rules shall be formulated 21 in consultation with affected state agencies and affected 22 industry, professional, and consumer groups; shall be designed 23 to accomplish the purposes of this chapter; and shall include 24 but not be limited to rules relating to all of the following: Sec. 17. Section 231C.3, subsection 1, unnumbered paragraph 26 1, Code 2021, is amended to read as follows: 27 The department shall establish by rule in accordance 28 with chapter 17A minimum standards for certification and 29 monitoring of assisted living programs. The department may 30 adopt by reference with or without amendment, nationally 31 recognized standards and rules for assisted living programs if 32 authorized pursuant to section 17A.6C. The rules shall include 33 specification of recognized accrediting entities and provisions 34 related to dementia-specific programs. The standards and 35 rules shall be formulated in consultation with affected state

- 1 agencies and affected industry, professional, and consumer
- 2 groups; shall be designed to accomplish the purposes of
- 3 this chapter; and shall include but are not limited to rules
- 4 relating to all of the following:
- 5 Sec. 18. Section 231D.2, subsection 2, Code 2021, is amended
- 6 to read as follows:
- 7 2. The department shall establish, by rule in accordance
- 8 with chapter 17A, a program for certification and monitoring
- 9 of and complaint investigations related to adult day services
- 10 programs. The department, in establishing minimum standards
- 11 for adult day services programs, may adopt by rule in
- 12 accordance with chapter 17A, nationally recognized standards
- 13 for adult day services programs if authorized pursuant to
- 14 section 17A.6C. The rules shall include specification of
- 15 recognized accrediting entities. The rules shall include
- 16 a requirement that sufficient staffing be available at all
- 17 times to fully meet a participant's identified needs. The
- 18 rules shall include a requirement that no fewer than two
- 19 staff persons who monitor participants as indicated in each
- 20 participant's service plan shall be awake and on duty during
- 21 the hours of operation when two or more participants are
- 22 present. The rules and minimum standards adopted shall be
- 23 formulated in consultation with affected state agencies and
- 24 affected industry, professional, and consumer groups and shall
- 25 be designed to accomplish the purpose of this chapter.
- Sec. 19. Section 508.36, subsection 4, paragraph a,
- 27 subparagraph (3), subparagraph division (c), Code 2021, is
- 28 amended to read as follows:
- 29 (c) Any ordinary mortality table, adopted after 1980 by the
- 30 national association of insurance commissioners, if authorized
- 31 pursuant to section 17A.6C, that is approved by rule adopted by
- 32 the commissioner for use in determining the minimum standard
- 33 of valuation for such policies.
- 34 Sec. 20. Section 508.36, subsection 4, paragraph b,
- 35 subparagraph (2), Code 2021, is amended to read as follows:

- 1 (2) For policies issued on or after the operative date of
- 2 section 508.37, subsection 6, paragraph "b", the commissioners
- 3 1961 standard industrial mortality table, or any industrial
- 4 mortality table adopted after 1980 by the national association
- 5 of insurance commissioners, if authorized pursuant to section
- 6 17A.6C, that is approved by rule adopted by the commissioner
- 7 for use in determining the minimum standard of valuation for
- 8 such policies.
- 9 Sec. 21. Section 508.36, subsection 4, paragraph e,
- 10 subparagraph (1), subparagraph division (a), Code 2021, is
- 11 amended to read as follows:
- 12 (a) For policies or contracts issued on or after January
- 13 1, 1966, the tables of period 2 disablement rates and the
- 14 1930 to 1950 termination rates of the 1952 disability study
- 15 of the society of actuaries, with due regard to the type of
- 16 benefit, or any tables of disablement rates and termination
- 17 rates adopted after 1980 by the national association of
- 18 insurance commissioners and, if authorized pursuant to section
- 19 17A.6C, approved by rule adopted by the commissioner for use
- 20 in determining the minimum standard of valuation for such
- 21 policies.
- Sec. 22. Section 508.36, subsection 4, paragraph f,
- 23 subparagraph (1), subparagraph division (a), Code 2021, is
- 24 amended to read as follows:
- 25 (a) For policies issued on or after January 1, 1966, the
- 26 1959 accidental death benefits table, or any accidental death
- 27 benefits table adopted after 1980 by the national association
- 28 of insurance commissioners and, if authorized pursuant to
- 29 section 17A.6C, approved by rule adopted by the commissioner
- 30 for use in determining the minimum standard of valuation for
- 31 such policies.
- 32 Sec. 23. Section 508.36, subsection 5, paragraph a,
- 33 subparagraph (2), subparagraph division (a), subparagraph
- 34 subdivision (ii), Code 2021, is amended to read as follows:
- 35 (ii) An individual annuity mortality table, adopted after

- 1 1980 by the national association of insurance commissioners
- 2 and, if authorized pursuant to section 17A.6C, approved by rule
- 3 adopted by the commissioner for use in determining the minimum
- 4 standard of valuation for such contracts.
- 5 Sec. 24. Section 508.36, subsection 5, paragraph a,
- 6 subparagraph (3), subparagraph division (a), subparagraph
- 7 subdivision (ii), Code 2021, is amended to read as follows:
- 8 (ii) An individual annuity mortality table adopted after
- 9 1980 by the national association of insurance commissioners
- 10 and, if authorized pursuant to section 17A.6C, approved by rule
- 11 adopted by the commissioner for use in determining the minimum
- 12 standard of valuation for such contracts.
- 13 Sec. 25. Section 508.36, subsection 5, paragraph a,
- 14 subparagraph (5), subparagraph division (a), subparagraph
- 15 subdivision (ii), Code 2021, is amended to read as follows:
- 16 (ii) A group annuity mortality table adopted after 1980 by
- 17 the national association of insurance commissioners and, if
- 18 authorized pursuant to section 17A.6C, approved by rule adopted
- 19 by the commissioner for use in determining the minimum standard
- 20 of valuation for such annuities and pure endowments.
- 21 Sec. 26. Section 508.37, subsection 7, paragraph h,
- 22 subparagraphs (6), (7), (8), and (9), Code 2021, are amended
- 23 to read as follows:
- 24 (6) For policies issued prior to the operative date of
- 25 the valuation manual, any commissioners standard ordinary
- 26 mortality tables adopted after 1980 by the national association
- 27 of insurance commissioners and, if authorized pursuant to
- 28 section 17A.6C, approved by rule adopted by the commissioner
- 29 for use in determining the minimum nonforfeiture standard may
- 30 be substituted for the commissioners 1980 standard ordinary
- 31 mortality table with or without ten-year select mortality
- 32 factors or for the commissioners 1980 extended term insurance
- 33 table.
- 34 (7) For policies issued on or after the operative date of
- 35 the valuation manual, the valuation manual shall provide the

- 1 commissioners standard mortality table for use in determining
- 2 the minimum forfeiture standard that may be substituted for
- 3 the commissioners 1980 standard ordinary mortality table
- 4 with or without ten-year select mortality factors or for the
- 5 commissioners 1980 extended term insurance table. If the
- 6 commissioner approves by rule in accordance with section 17A.6C
- 7 the commissioners standard ordinary mortality table adopted by
- 8 the national association of insurance commissioners for use in
- 9 determining the minimum nonforfeiture standard for policies
- 10 or contracts issued on or after the operative date of the
- 11 valuation manual, then that minimum nonforfeiture standard
- 12 supersedes the minimum nonforfeiture standard provided by the
- 13 valuation manual.
- 14 (8) Any industrial mortality tables adopted after 1980
- 15 by the national association of insurance commissioners and,
- 16 if authorized pursuant to section 17A.6C, approved by rule
- 17 adopted by the commissioner for use in determining the minimum
- 18 nonforfeiture standard may be substituted for the commissioners
- 19 1961 standard industrial mortality table or the commissioners
- 20 1961 industrial extended term insurance table.
- 21 (9) For policies issued on or after the operative date
- 22 of the valuation manual, the valuation manual shall provide
- 23 the commissioners standard ordinary mortality table for use
- 24 in determining the minimum nonforfeiture standard that may be
- 25 substituted for the commissioners 1961 standard industrial
- 26 mortality table or the commissioners 1961 industrial extended
- 27 term insurance table. If the commissioner approves by rule
- 28 in accordance with section 17A.6C any commissioners standard
- 29 industrial mortality table adopted by the national association
- 30 of insurance commissioners for use in determining the minimum
- 31 nonforfeiture standard for policies issued on or after the
- 32 operative date of the valuation manual, then that minimum
- 33 nonforfeiture standard supersedes the minimum nonforfeiture
- 34 standard provided by the valuation manual.
- 35 Sec. 27. Section 514C.12, subsection 1, Code 2021, is

1 amended to read as follows:

- 2 1. Notwithstanding section 514C.6, a person who provides 3 an individual or group policy of accident or health insurance 4 or individual or group hospital or health care service 5 contract issued pursuant to chapter 509, 509A, 514, or 514A 6 or an individual or group health maintenance organization 7 contract issued and regulated under chapter 514B, which is 8 delivered, amended, or renewed on or after July 1, 1996, and 9 which provides maternity benefits, which are not limited to 10 complications of pregnancy, or newborn care benefits, shall not 11 terminate inpatient benefits or require discharge of a mother 12 or the newborn from a hospital following delivery earlier 13 than determined to be medically appropriate by the attending 14 physician after consultation with the mother and in accordance 15 with guidelines adopted by rule by the commissioner. 16 guidelines adopted by rule shall be consistent with or, if 17 authorized pursuant to section 17A.6C, may adopt by reference 18 the guidelines for perinatal care established by the American 19 academy of pediatrics and the American college of obstetricians 20 and gynecologists which provide that when complications are not 21 present, the postpartum hospital stay ranges from a minimum 22 of forty-eight hours for a vaginal delivery to a minimum of 23 ninety-six hours for a cesarean birth, excluding the day of 24 delivery. The guidelines adopted by rule by the commissioner 25 shall also provide that in the event of a discharge from 26 the hospital prior to the minimum stay established in the 27 guidelines, a postdischarge follow-up visit shall be provided 28 to the mother and newborn by providers competent in postpartum 29 care and newborn assessment if determined medically appropriate 30 as directed by the attending physician, in accordance with the 31 quidelines.
- 32 Sec. 28. Section 514C.22, subsection 4, Code 2021, is
- 33 amended to read as follows:
- 4. The commissioner, by rule, shall define the biologically
- 35 based mental illnesses identified in subsection 3. Definitions

- 1 established by the commissioner shall be consistent with
- 2 definitions provided in the most recent edition of the American
- 3 psychiatric association's diagnostic and statistical manual of
- 4 mental disorders, as such definitions may be amended from time
- 5 to time. The commissioner may adopt the definitions provided
- 6 in such manual by reference, if authorized pursuant to section
- 7 17A.6C.
- 8 Sec. 29. Section 514C.27, subsection 4, Code 2021, is
- 9 amended to read as follows:
- 10 4. The commissioner, by rule, shall define "mental illness"
- ll consistent with definitions provided in the most recent edition
- 12 of the American psychiatric association's diagnostic and
- 13 statistical manual of mental disorders, as the definitions may
- 14 be amended from time to time. The commissioner may adopt the
- 15 definitions provided in such manual by reference, if authorized
- 16 pursuant to section 17A.6C.
- 17 Sec. 30. Section 514C.28, subsection 2, paragraph c, Code
- 18 2021, is amended to read as follows:
- 19 c. "Autism spectrum disorders" means any of the pervasive
- 20 developmental disorders including autistic disorder, Asperger's
- 21 disorder, and pervasive developmental disorders not otherwise
- 22 specified. The commissioner, by rule, shall define "autism
- 23 spectrum disorders" consistent with definitions provided in the
- 24 most recent edition of the American psychiatric association's
- 25 diagnostic and statistical manual of mental disorders, as such
- 26 definitions may be amended from time to time. The commissioner
- 27 may adopt the definitions provided in such manual by reference,
- 28 if authorized pursuant to section 17A.6C.
- 29 Sec. 31. Section 515.63, subsection 15, Code 2021, is
- 30 amended to read as follows:
- 31 15. All other information as required by the national
- 32 association of insurance commissioners' annual statement blank.
- 33 The annual statement blank shall be prepared in accordance with
- 34 instructions prescribed by the commissioner. All financial
- 35 information reflected in the annual report shall be kept and

- 1 prepared in accordance with accounting practices and procedures
- 2 prescribed by the commissioner. The commissioner may adopt
- 3 by reference the annual statement handbook and the accounting
- 4 practices and procedures manual of the national association of
- 5 insurance commissioners, in accordance with section 17A.6C if
- 6 applicable.
- 7 Sec. 32. Section 515E.3, subsection 1, Code 2021, is amended
- 8 to read as follows:
- 9 1. To be organized as a risk retention group in this
- 10 state, the group must be organized and licensed as a liability
- 11 insurance company authorized by the insurance laws of this
- 12 state. Except as provided elsewhere in this chapter, a risk
- 13 retention group organized in this state must comply with
- 14 all of the laws, rules, and requirements applicable to a
- 15 liability insurer organized in this state. Additionally,
- 16 a risk retention group organized in this state must comply
- 17 with section 515E.4. These requirements do not exempt a risk
- 18 retention group from a duty imposed by any other law or rule
- 19 of the state. Before it may offer insurance in any state,
- 20 a risk retention group shall also submit for approval to the
- 21 commissioner of insurance of this state a plan of operation
- 22 or a feasibility study, and revisions of the plan or study
- 23 within ten days of any change. The name under which a risk
- 24 retention group may be chartered and licensed shall be a brief
- 25 description of its membership followed by the phrase "risk
- 26 retention group" and, unless its membership consists solely of
- 27 insurers, shall not include the terms "insurance", "mutual",
- 28 "reciprocal", or any similar term. A risk retention group
- 29 chartered in this state shall file with the division and the
- 30 national association of insurance commissioners an annual
- 31 statement blank prepared in accordance with instructions
- 32 prescribed by the commissioner. All financial information
- 33 reflected in the annual statement shall be kept and prepared in
- 34 accordance with accounting practices and procedures prescribed
- 35 by the commissioner. The commissioner may adopt by reference

- 1 the annual statement handbook and the accounting practices and
- 2 procedures manual of the national association of insurance
- 3 commissioners, in accordance with section 17A.6C if applicable.
- 4 Sec. 33. Section 542.3, subsection 1, paragraph b, Code
- 5 2021, is amended to read as follows:
- 6 b. The standards specified in this subsection are those
- 7 standards adopted by the board, by rule, by reference, in
- 8 accordance with section 17A.6C, to the standards developed for
- 9 general application by the American institute of certified
- 10 public accountants, the public company accounting oversight
- 11 board, or other recognized national accountancy organization.
- 12 DIVISION IV
- 13 EFFECTIVE DATE
- 14 Sec. 34. EFFECTIVE DATE. This Act, being deemed of
- 15 immediate importance, takes effect upon enactment.
- 16 EXPLANATION
- 17 The inclusion of this explanation does not constitute agreement with 18 the explanation's substance by the members of the general assembly.
- 19 This bill relates to the adoption by executive branch
- 20 agencies of standards by reference to other publications.
- The bill prohibits an agency, on and after the effective date
- 22 of the bill, from adopting, by rule, a standard by reference
- 23 to another publication, or a new edition, amendment, or other
- 24 update to such a standard previously adopted by reference,
- 25 unless adoption of the specific standard, edition, amendment,
- 26 or other update is explicitly authorized by a bill enacted by
- 27 the general assembly.
- 28 The bill defines "publication" to include a successor
- 29 publication to a publication previously adopted by reference,
- 30 but not a publication by the executive, legislative, or
- 31 judicial branches, or any component thereof, or a federal
- 32 publication.
- The bill does not apply to adoption of a standard by
- 34 reference to another publication if such adoption is explicitly
- 35 required by federal law.

- 1 The bill shall not be construed to affect the validity of
- 2 the adoption of a standard adopted by an agency prior to the
- 3 effective date of the bill. The bill shall not be construed to
- 4 authorize an agency to adopt, by means other than rulemaking, a
- 5 standard by reference to another publication if such adoption
- 6 is not otherwise authorized by state or federal law.
- 7 The bill makes corresponding amendments to statutory
- 8 language regarding adoption of standards by reference to
- 9 various publications.
- 10 The bill strikes language requiring the electrical examining
- 11 board to adopt rules based on minimum standards set forth in
- 12 the most current publication of the national electrical code
- 13 issued and adopted by the national fire protection association
- 14 and amendments to the code and instead provides that such rules
- 15 shall be based on the 2020 publication of the code without
- 16 amendments.
- 17 The bill takes effect upon enactment.